



09/407,329

09-25-06

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**TRANSMITTAL
FORM***(To be used for all correspondence
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| | |
|----------------------|------------------|
| Patent Number | 6,803,042 |
| Issue Date | October 12, 2004 |
| First Named Inventor | George H. Lowell |
| Art Unit | 1645 |
| Examiner Name | Robert A. Zeman |
| Attorney Docket No. | 484112.409C2 |

ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
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| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
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Remarks**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

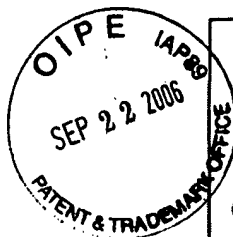
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|--------------|---|-----------------|--------|
| Firm Name | Seed Intellectual Property Law Group PLLC | Customer Number | 00500 |
| Signature | <i>Mae Joanne Rosok</i> | | |
| Printed Name | Mae Joanne Rosok | | |
| Date | September 22, 2006 | Reg. No. | 48,903 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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837657_1.DOC



| | | |
|--|------------------------|------------------|
| REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS | Patent Number | 6,803,042 |
| | Issue Date | October 12, 2004 |
| | First Named Inventor | George H. Lowell |
| | Art Unit | 1645 |
| | Examiner Name | Robert A. Zeman |
| | Attorney Docket Number | 484112.409C2 |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners at Seed IP Law Group PLLC, Customer Number: **00500**

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with Customer Number **00500**

OR

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|---|--|-------|--|-----|--|
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| Country | | | | | |
| Telephone | | Email | | | |

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

☒ As assignee of record of the entire interest I/we hereby elect, under 37 CFR 3.71,
to prosecute the application to the exclusion of the inventor(s).

SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|-------------------------|
| Signature | <i>Elizabeth Arwine</i> |
| Name | ELIZABETH ARWINE |
| Date | 11 SEPTEMBER 2006 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.
Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

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715500_1.DOC [9-03]

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: George H. Lowell
 Application No./Patent No.: 6,803,042 Filed/Issue Date: October 12, 2004
 Entitled: ORAL OR INTRANASAL VACCINES USING HYDROPHOBIC COMPLEXES
HAVING PROTEOSOMES AND LIPOPOLYSACCHARIDES

Government of the United States, as
 Represented by the Secretary of the Army a government agency
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
 The assignment was recorded in the United States Patent and Trademark Office at
 Reel 011026, Frame 0145, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
 current assignee as shown below:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title noted in B above are
 attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment
 Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See
 MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Elizabeth Arwine
 Signature

11 SEPTEMBER
 Date

ELIZABETH ARWINE
 Typed or printed name

PATENT ATTORNEY
 Title

